



ASSAM UNIVERSITY: SILCHAR

Minutes of the Meeting on "Reservation Policy of GOI with Regard to Admission of Students under the Assam University, Silchar" held on 8-7-2014 at 3.00 PM in the PMG meeting Hall of Administration Building of the University

Members and Representatives Present

1. Prof. Debasish Bhattacharjee, Chairman, Admission Committee, AUS
2. Dr. L. N Sethi, Liaison Officer and convener
3. Dr. S. Behera Member
4. Dr. Sunita Das Member
5. Dr. Teneswari Devi Member
6. Sri Lalzo Member
7. Dr. Ajit Kr. Das, Member
8. Sri Ram Chandra DAs, Member
9. Dr. Y. S. Yarso Member
10. Sri Sib Sankar Mazumder Member
11. Dr. Ganesh Bashkaran Member
12. Dr. Marykim Haokip Member
13. Sri Nirupam Das Member
14. Dr. Sanjoy Singh Member
15. Dr. Nil Ratan Roy Member
16. Dr. Mohan Borah Member
17. Sri. Ranjit Das Special Invitee

At the outset, Prof. Debasish Bhattacharjee, Chairman, Admission Committee, Vice Chancellor (i/c) and Pro-Vice Chancellor (Academic), welcomed all the representatives and briefed the very purpose of the meeting. The Liaison Officer, Sc/St Cell apprised the house about the letter received from the UGC, New Delhi [(DO. No. F.1-8/2014 (SCT) dated 17th June 2014 and F.1-8/2014 (SCT) dated 24th June 2014, received on 7th July 2014] regarding effective implementation of reservation policy in admission and placed the following items in the meeting for discussion (A sample format enclosed as Annexure-1) :

1. Selection criteria and combination for selection of candidate for a course
2. Category-wise seat matrix preparation
3. Common merit list and waiting list preparation
4. Category-wise merit list and waiting list preparation
5. Preparation of Admitted and vacant seats of a Department
6. Actions for filling up category wise vacant seats (if any)



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A threadbare discussion held on policies with regard to admission in different departments of Assam University with the SC/ST representatives of different departments. Then members felt that there has to be proper plan of action/steps such as well-defined selection criteria, seat matrix, common merit list and common waiting list, reserved category merit list and reserved waiting list and action for filling vacant seats (if any) in admission process for strict implementation of reservation policy (UGC guidelines, 2006) of GOI. The following resolutions were made unanimously by the members present.

1. The members observed that there are different combination of Selection Criteria (**written test, personal interview, weightage for career, internal candidates, experience, and additional qualification (Hons.) etc.**) followed in Admission Processes under science, Technology, management and humanities, linguistics etc. departments under the Assam University. So, it was resolved that in admission process there has to be a well defined selection criteria for admission test and preparation of merit list.
2. In implementation of reservation policy for admission process, it is mandate to know the numbers of category and their percentage of reservation in preparing the seat matrix against the intake capacity of a particular course (**undergraduate/post graduate/PhD etc.**). So, member noted the percentage of reservation for OBC is to be 27%, SC is to be 15% and 7.5% for ST as per GOI norms. However, the percentage of reservation for PWD is to be 3% as per the candidate category. In no case the total percentage of reserved categories should exceed 50% of total intake. While preparing the seat matrix for the allocation for different category the fractional number is to be rounded to next integer number **if it is 0.5 or more.**
3. In admission process the main aim of the department is to acquire meritorious candidates for improving quality of the department. So, the members resolved that as per GOI norms as:



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- (i) A common merit (**Open merit**) list to be prepared considering all eligible candidates irrespective of candidate's category.
 - (ii) **From the common merit (Open merit) list (Clause3 (i)), the total unreserved seats are to be filled up and rest of the candidates (irrespective of category) of the common merit (open merit) list (clause3 (i)), will be considered as common (Open category) waiting list.**
 - (iii) The reserved category candidate selected from common merit (**Open merit**) list is to be considered under selection under open category but should not consider again in reserved category for admission of particular course.
 - (iv) **From the common (Open category) waiting list only, the merit list for each reserved category merit list and waiting list are to be prepared separately in each round of admission (advertisement).**
 - (v) If any selected candidate(s) in **common (Open category)** category list does not take admission that resulted vacancy against that allotment. The said vacant seat(s) are to be filled up from the **common (Open category)** waiting merit list.
 - (vi) While taking admission from **common (Open category) waiting list**, if any reserved category candidate (whose rank is top in **open waiting list**) **has already taken admission** availing reservation, that candidate is to be considered for the vacancy caused due to non admission of candidate in the **Common (Open category) merit list**.
4. The members resolved that at the end of each round/phase of admission process for each admission notification which includes the filling of unreserved and reserved category seats from merit and waiting list within the schedule, a summary of the status of filled and vacant seats for a particular course admission is to be prepared.



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5. At the end of each round/phase of admission process, if some seats are lying vacant under reserved category, the members resolved that there needs to be another advertisement for the next round of admission till the last day of admission closed.
6. With reference to the above mentioned letter from received from UGC, New Delhi, it has been sought an immediate compliance and action taken for strict implementation of reservation policy, the members proposed that Liaison Officer along with SC/ST representatives (**Sri Sib Sankar Mazumder, Dr. Teneswari Devi and Dr. Marykim Haokip**) will visit different departments in the University to sensitize about the reservation rules applicable for admission (**Enclosed as Annexure-2**) and upload the same in the Assam University website.

The meeting ended with vote of thanks to and from the Chair.


(Prof. Debasish Bhattacharjee)

Chairman, Admission Committee,
Vice Chancellor (i/c) and Pro-Vice Chancellor
(Academic), Assam University, Silchar


10-7-19

(Dr. Laxmi Narayan Sethi)
Liaison Officer and
Convenor Assam
University, Silchar-788011

Copy to:-

1. P. S. to Vice-Chancellor for kind information of the Vice-Chancellor.
2. Pro Vice Chancellors (Silchar (academic and administration) & Diphu Campus) for kind information
3. The Registrars of Assam University, Silchar for kind information
4. All HOD/DEAN/Statutory officers/Members of _____
4. Director, Computer Centre for uploading in the University website.
5. File.



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**CONTENTS OF THE MEETING FOR STRICT IMPLEMENTATION
OF RESERVATION POLICY FOR ADMISSION OF STUDENTS IN
THE DEPARTMENTS/CENTRES/HOSTELS UNDER THE ASSAM
UNIVERSITY, SILCHAR:**

- 1. Selection criteria and combination for selection of candidate for a course**
- 2. Category-wise seat matrix preparation**
- 3. Common merit list and waiting list preparation**
- 4. Category-wise merit list and waiting list preparation**
- 5. Preparation of Admitted and vacant seats of a Department**
- 6. Actions for filling up category wise vacant seats (if any)**



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SAMPLE FORMAT FOR ADMISSION PROCESS:**1. Combination of Selection Criteria in Admission Processes:**

(i) Career weightage

(ii) Written test

(iii) Personal interview

(iv) Experience/additional qualification weightage (if any)

2. Category-wise Seat Matrix

Name of Course	Total intake (1)	Open Category	Reserved Category			Remarks
			OBC (27% of Col.(1))	SC (15% of Col.(1))	ST (7.5% of Col.(1))	
A						
B						

3. Preparation of Common Merit List Irrespective of Category of Candidate

Name of Course: A

Name and Roll No. of Candidate	Career (a)	Written test (b)	Personal interview (c)	Experience/ Other (d)	Total marks (a+b+c+d)	Rank
1						I (Gen)
2						II (SC)
3						III (Gen)
4						IV (OBC)
5						V(ST)
WAITING LIST OF COMMON MERIT LIST (OPEN) FOR COURSE A						
6						I (Gen)
7						II (SC)
8						III (Gen)



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Name of Course: B

Name and Roll No. of Candidate	Career (a)	Written test (b)	Personal interview (c)	Experience/ Other (d)	Total marks (a+b+c+d)	Rank
1						I (Gen)
2						II (ST)
3						III (OBC)
4						IV (GEN)
5						V(SC)
WAITING LIST OF COMMON MERIT LIST (OPEN) FOR COURSE B						
6						I (Gen)
7						II (SC)
8						III (Gen)

4. Preparation of Category-wise Merit List (OBC/SC/ST)

Name of Course: A

A. Merit List of OBC Category of Candiadtes						
Name and Roll No. of Candidate	Career (a)	Written test (b)	Personal interview (c)	Experience/ Other (d)	Total marks (a+b+c+d)	Rank
1						I
2						II
3						III
4						IV
WAITING LIST OF OBC CATEGORY FOR COURSE A						
6						I
7						II
B. Merit List of SC Category of Candiadtes						
1						I
2						II
3						III
WAITING LIST OF SC CATEGORY FOR COURSE A						
C. Merit List of ST Category of Candiadtes						
1						I
2						II
3						III
WAITING LIST OF ST CATEGORY FOR COURSE A						
4						I
5						II



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Name of Course: B

A. Merit List of OBC Category of Candiadtes						
Name and Roll No. of Candidate	Career (a)	Written test (b)	Personal interview (c)	Experience/ Other (d)	Total marks (a+b+c+d)	Rank
1						I
2						II
3						III
4						IV
WAITING LIST OF OBC CATEGORY FOR COURSE B						
5						I
6						II
B. Merit List of SC Category of Candiadtes						
1						I
2						II
3						III
WAITING LIST OF SC CATEGORY FOR COURSE B						
4						I
5						II
C. Merit List of ST Category of Candiadtes						
1						I
2						II
3						III
WAITING LIST OF ST CATEGORY FOR COURSE B						
4						I
5						II

5. Preparation of Admitted and Vacant Seats of a Department

Name of Course	Total intake (1)	Open Category			Reserved Category									Remarks				
		Total Intake	Admitted	vacant	OBC (27% of Col.(1))			SC (15% of Col.(1))			ST (7.5% of Col.(1))							
					Total Intake	Admitted	vacant	Total Intake	Admitted	vacant	Total Intake	Admitted	vacant					
A																		
B																		



विश्वविद्यालय अनुदान आयोग
35, फिरोजशाह रोड,
नई दिल्ली-110 001
UNIVERSITY GRANTS COMMISSION
35, FEROCZE SHAH ROAD,
NEW DELHI-110 001

August, 2006

No.F. 1-5/2006(SCT)

The Registrar -
All Central Universities / State
Universities, Deemed to be
Universities and others, List
as per attached. (262)


25 AUG 2006

Subject: For Strict observation of Reservation Policy of the Government
in Universities; Deemed to be Universities, Colleges and other
Grant-In- Aid Institutions and Centres.

Sir,

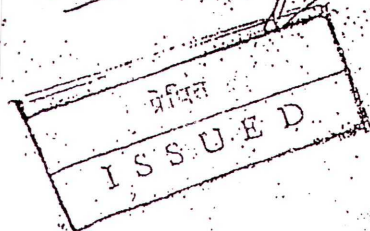
I am directed to enclose herewith a copy of the new guidelines on the
above mentioned subject for implementation by your institution under
intimation to the Commission.

Yours faithfully,


(Sharanjit Singh)
Deputy Secretary

Encl: As above

O.L.
21/2/06



**GUIDELINES
FOR**

**STRICT IMPLEMENTATION OF
RESERVATION POLICY OF THE GOVERNMENT
IN UNIVERSITIES,
DEEMED TO BE UNIVERSITIES, COLLEGES
AND OTHER GRANT-IN-AID INSTITUTIONS
AND CENTERS**



ज्ञान-विज्ञानं विमुक्तये

**UNIVERSITY GRANTS COMMISSION
NEW DELHI
2006**

UNIVERSITY GRANTS COMMISSION

UGC GUIDELINES FOR STRICT IMPLEMENTATION OF RESERVATION POLICY OF THE GOVERNMENT IN UNIVERSITIES, DEEMED TO BE UNIVERSITIES, COLLEGES AND OTHER GRANT-IN-AID INSTITUTIONS AND CENTERS.

1. Central Government has been issuing various instructions from time to time for implementing the Reservation Policy of the Government; and UGC being an autonomous statutory body, under the administrative control of the Ministry of Human Resource Development, is under directions from the Government to strictly implement the said instructions by all grant-in-aid institutions.
2. Central Government, Ministry of Human Resource Development (Dept. of Secondary & Higher Education), vide their Order No. F.No.6-30/2005 U-5 dated 6th December, 2005 issued the following directions to the University Grants Commission:-

WHEREAS Article 46 of the Constitution states that, "The State shall promote, with special care, the education and economic interests of the weaker sections of the people, and, in particular of the Scheduled Castes and Scheduled Tribes, and shall protect them from social injustice and all forms of social exploitation.

AND WHEREAS, the policy of the Central Government is that in the Central Universities and Institutions which are Deemed to be Universities receiving grants-in-aid from the public exchequer, the percentage of reservation in admissions and recruitments in teaching and non-teaching posts is to be 15% for Scheduled Castes and 7.5% for Scheduled Tribes.

AND WHEREAS, the University Grants Commission, New Delhi hereinafter referred to as UGC, is a statutory autonomous organization responsible for implementation of policy of the Central Government in the matter of admissions as well as recruitment to the teaching and non-teaching posts in the Central Universities and Institutions which are Deemed to be Universities;

And WHEREAS, the UGC has failed to ensure effective implementation of the reservation policy in the Central Universities and grantee Institutions which are deemed to be Universities.

NOW, THEREFORE, in exercise of the powers vested under Section 20(1) of the University Grants Commission Act, 1956 the Government hereby directs the UGC to ensure effective implementation of the reservation policy in the Central Universities and those of Institutions Deemed to be Universities receiving aid from the public funds except in minority institutions under Article 30(1) of the Constitution.

3 The instructions issued by the Government are statutory in nature, as per the judgment of the Hon'ble Supreme Court in the case of *Indira Sahney v. Union of India & Ors* (AIR 1993 SC 447), since these instructions are issued for the purposes of implementing Constitutional [Article 16 (4)] provisions.

4. UGC with the mandate of maintaining the standards of higher education has been issuing guidelines from time to time, regarding adequate representation of teaching and non-teaching staff as well as of students [Article 15 (4), 16 (4), 46 & 253], belonging to SC/ST communities, in all the Universities, Deemed to be Universities, Colleges, and other grant-in-aid or research Institutions and Centers. It has now become necessary to consolidate these guidelines, the following policy Guidelines are issued.

5. All the Universities, Deemed to be Universities, Colleges, and other grant-in-aid or research Institutions and Centers are hereby directed to adopt these guidelines by appropriate resolution by the respective Governing/Executive Bodies/Syndicate/Senate etc. for effective implementation of these guidelines.

6. **Coverage and Applicability:**

- (a) Reservation is applicable to all teaching posts such as the posts of Lecturers, Readers, Professors, or by whatever other nomenclature the posts are known, and to all posts of non-teaching staff of all the Universities, Deemed to be Universities, Colleges, and other grant-in-aid or research Institutions and Centers;
- (b) Reservation is also applicable to all admissions to Undergraduate, Postgraduate, M.Phil and Ph.D courses of educational Institutions referred to in clause (a) above;
- (c) In the cases of reservations referred to in clause (a) above, the Instructions issued by the Central Government for grouping of posts shall be resorted to wherever applicable, especially when more than one University functions under a single Act, or several colleges function under one University; grouping of posts are mandatory if the posts concerned are transferable on an inter-university or inter-college levels. The practice of creating department-wise cadres, which tends to create single posts or cadres with artificially reduced number of posts in order to avoid reservation, is strictly forbidden;
- (d) In the cases of reservations referred to in clause (b) above, the Instructions issued by the Central Government with regard to reservation for implementing Article 16 (4) of the Constitution of India are strictly applicable to all central or any other special quota, permitted under any existing rules all the educational Institutions referred to in clause (a) above, shall also apply to admissions of candidates to those Institutions.
- (e) Relaxation & concession in respect of educational qualification, age, experience and qualifying marks/standard etc. will be as per existing government rules in all cases of admissions, recruitments, appointments, promotions and other assignments of entitlements to the members of SC/ST.

7. **Extent of Reservation:**

- (a) In all the educational Institutions referred to in clause 6 (a) above, the extent of reservation applicable is 15% for SC and 7.5% for ST;
- (b) Without prejudice to the provisions contained in the clause (a) above, in all the educational Institutions referred to in clause (a) above, and functioning within any State shall follow the percentage of reservation prescribed by the respective State Government.

- (c) No restriction of percentage is applicable while filling-up of back-log/short-fall vacancies of SC/ST.

8. **Procedure to be followed in matters of reservation for teaching as well as non-teaching staff:**

- (a) Without prejudice to any procedure prescribed under various Instructions from the Central Government from time to time, the following guidelines are to be followed:
- (i) SC/ST candidates should be interviewed separately;
 - (ii) One member of the interview Committee shall belong to the SC/ST category;
 - (iii) All the SC/ST candidates selected according to their position in the combined general merit list shall not be counted for covering the prescribed percentage of reservation;
 - (iv) Rules of reservation are applicable both for direct recruitment as well as for promotion. If in internal promotion in feeder cadre candidates are not available, in that situation such positions be declared open and advertised in order to fill up the posts and fulfill reservation.
 - (v) The Roster, 40-point or 100-point as the case may be, shall be applied to the total number of posts in cadre only, (*R.K. Sabharwal v. State of Punjab*, (AIR 1995 SC 1371); cadre is best indicated by seniority list governing the members with the same pay-scale;
 - (vi) Total number of vacancies shall be calculated, and Roster as referred above shall be applied only excluding the back-log vacancies, if any;
 - (vii) Percentage of reservation shall be applied separately for each recruitment year, and not whenever the vacancies arise, or interviews take place, or recruitment/ appointment is made;

9. **Procedure to be followed in matters of reservation for admission:**

- (a) All the procedures prescribed under various instructions from the Central Government from time to time, as referred to in sub-clause (a) of clause 8 above, shall be applicable *mutatis mutandis* to matters of admission of students referred to in all the educational institutions referred to in clause 6(a) above.
- (b) In cases of admissions, sub-clauses (i) to (iii) of clause (a) above would only be applicable; and sub-clauses, (iv) to (vii) are not applicable;
- (c) In cases of admissions, the following procedures are also to be followed:
- (i) No SC/ST student can seek admission or claim reservation, unless he/she appears in national or State/common or University/Institution tests held for the purpose, in order to avail the quota of seats reserved for them, by the educational Institutions referred to in sub-clause (a) of clause 6 above;
 - (ii) In cases, where National or Common/State or University/Institution test is not prescribed as necessary for selecting candidates for admission, the SC/ST candidates seeking admissions, shall be arranged in the order of merit among themselves, as per the merit position obtained in the qualifying examination;

- (iii) Rules of reservation are applicable for under-graduate as well as post-graduate levels and research degrees;
- (iv) Percentage of reservation shall be applied separately for each academic year, and not whenever interviews take place, or recruitment is made;
- (v) Rules of interchangeability among SC-s and ST-s are applicable, wherever necessary to fill-up the number of vacant seats;
- (vi) In case no eligible reserved candidates are available, the vacant seats in the reserved quota shall not be filled by any non SC/ST candidates. Every effort shall be made to re-advertise for wider publicity in the leading national news papers;
- (vii) Advance special (short term) coaching with assistance from UGC may be introduced for prospective SC/ST students;
- (viii) In the Universities where central Registration for admission of SC/ST students has been introduced, all admission process must be completed at the central level itself, and the assigned Colleges, Institutes or Centers as the case may be only permitted to make attempts to fill in the vacant SC/ST seats, at their instance;
- (ix) All institutions referred to in sub-clause (a) of clause (6) should give the maximum possible encouragement and support to girls of SC/ST in admission.

10. Annual Report:

- (a) All the Institutions referred to in sub-clause (a) of clause 6 above shall submit reports about the implementation of these guidelines annually by 15th February of the following year in the prescribed format, attached to these Guidelines to the Deputy Secretary, SCT Division, University Grants Commission, New Delhi with a copy each to the Ministry of Human Resource Development or the Department of Education of the concerned State Government.
- (b) All applications for grant-in-aid, shall be accompanied by the reports on the implementation of these guidelines during the previous recruitment or academic year, as the case may be;
- (c) Reports as referred to in sub-clause (a) should assist UGC to re-adjust or reduce the extent of demand for grant-in-aid for the following academic year, at least in proportion to the deficiency in implementation of these guidelines during the previous recruitment/ academic year.
- (d) A separate chapter in the annual report published by the Institutions should be provided to explain the steps undertaken and the results accomplished for bringing the level of performance of SC/ST to general level during the year.

11. Admission to Hostel Accommodations:

The percentage of reservation referred above under the head 'percentage' shall be strictly observed for admission to the hostels. Additional percentage of seats may be provided for the girl students of these communities. No rent shall be charged from the students of these communities;

12. **Staff Houses:**
The percentage of reservation for Staff Quarters, transit Houses, teacher's hostels etc. shall be commensurate with the quantum of reservation as prescribed in paragraph 7 above.
13. **Liaison Officer:**
Each University/Institution shall appoint a Liaison Officer, who is not below the rank of a Deputy Secretary of the concerned State or Central Government and will be responsible for monitoring the implementation of reservation under these guidelines.
14. **SC/ST Cell:**
All the Universities/Institutions referred to in sub-clause (a) of paragraph 6 above shall establish an SC/ST Cell in order to process the grievances of the members of these communities. The SC/ST Cell shall function under the overall supervision of the Liaison Officer.
15. **Advisory Committees:**
Advisory Committees with Vice Chancellor/ Principal as Chairman be constituted to review the implementation of reservation policy in admission and capacity building programmes for SC/ST for their successful passing in examinations. The Committee should meet at least once in a quarter and action taken on decisions reviewed in the next meeting.
16. **Amendments to existing University Acts and Statutes:**
Action should be initiated by the Universities so as to effect necessary amendments to their Acts/Statutes for the statutory support for reservation in admission, appointments to teaching and non-teaching posts and representation of SC/ST in their bodies like Syndicate Executive Council, Academic Council, Selection Committees, etc.
17. **Miscellaneous:**
The above policy guidelines are minimum prescribed for observation of the reservation policy for SC/ST. The University/Institutions are free to provide additional benefits to these categories with the approval of their Board of Governors/Executive Councils etc.

**UNIVERSITY GRANTS COMMISSION
CONSOLIDATED STATISTICAL DATA**

FORMAT

Information for the year:

Name of the University/College _____

	General	Scheduled castes	Scheduled Tribes	Other Backward classes.
Vice-Chancellor				
Principal				

A. The Reservation Policy followed by the University as per Central/State Government :- Centre/State

Percentage of reservation in Teaching and Non-teaching as well as admissions					
Category	Professor	Associate Professor	Assistant Professor	Non-teaching posts	Student admission
SC					
ST					
OBC					

B. Data on Teaching and Non-teaching staff & Students enrolment:-

B1. Details of teaching staff:									
S. No.	Name of the Post	Number of Posts			Number in Position out of Total Filled.				
		Sanctioned	Filled	Vacant	Gen.	OBC	SC	ST	Total
1.	Assistant Professor								
2.	Associate Professor								
3.	Professor								
B2. Details of Non-Teaching Staff :-									
S. No.	Name of the Post	Number of Posts			Number in Position out of Total Filled.				
		Sanctioned	Filled	Vacant	Gen.	OBC	SC	ST	Total
1.	Group-A								
2.	Group-B								
3.	Group-C								
4.	Group-D (Except Safai Karamchari)								
B3. Students admitted in various courses during the academic session:-									
S. No.	Courses at different Level	Total Seats	Category-wise students admitted (1st Year of Admissions)						
			Students Intake (Approved)	Students admitted against intake	Vacant, if any	Gen.	OBC	SC	ST
1.	UG								
2.	PG								
2.	M. Phil								
3.	Ph. D								
4.	Others								

Note:-SC-Scheduled Caste, ST-Scheduled Tribes, and OBC-Other Backward Classes.
Whether SCs/STs/OBCs in teaching and non-teaching posts are filled up as per the reservation policy: Yes or No. If no, give reason for vacant posts.

Registrar/Principal



University Grants Commission
Bahadur Shah Zafar Marg
New Delhi 110002

BY SPEED POST

No.F.1-1/2002(PS)/Exemp. Part file-III

March, 2011

✓ The Publication Officer for posting it on UGC Website

Subject: UGC Regulations on Minimum Standards and Procedure for the award of M.Phil/Ph.D Degree, Regulations 2009. And clarification on guidelines for admission in Ph.D

Sir /Madam,

In continuation of this office letter of even number dated 12.6.2009 UGC (Minimum Standards and Procedure for Award of M.Phil/Ph.D Degree) Regulations 2009, notified in the Gazette of India on 11-17th July, 2009, I am, directed, to say that the same criteria for admission to Ph.D should be followed in respect of NET qualified candidates also as is being followed for persons having qualifications as laid down under procedure for admission in para 9 (i) of the aforementioned UGC (Minimum Standards and Procedure for award of M.Phil/Ph.D Degree) Regulations 2009.

The contents of this letter may be brought to the notice of all the affiliated / recognized Colleges / Institutions of your University for information.

Yours faithfully,

B.K. Singh

(B.K.Singh)

Deputy Secretary



भारत का राजपत्र The Gazette of India

साप्ताहिक/WEEKLY

प्राधिकार से प्रकाशित
PUBLISHED BY AUTHORITY

सं. 28] नई दिल्ली, शनिवार, जुलाई 11—जुलाई 17, 2009 (आषाढ़ 20, 1931)

No. 28] NEW DELHI, SATURDAY, JULY 11—JULY 17, 2009 (ASADHA 20, 1931)

इस भाग में भिन्न पृष्ठ संख्या दी जाती है जिससे कि यह अलग संकलन के रूप में रखा जा सके।
(Separate paging is given to this Part in order that it may be filed as a separate compilation)

भाग III—खण्ड 4

[PART III—SECTION 4]

[सांविधिक निकायों द्वारा जारी की गई विविध अधिसूचनाएं जिसमें कि आदेश, विज्ञापन और सूचनाएं सम्मिलित हैं]

[Miscellaneous Notifications including Notifications, Orders, Advertisements and Notices issued by Statutory Bodies]

विश्वविद्यालय अनुदान आयोग

नई दिल्ली-110002, दिनांक 1 जून 2009

विश्वविद्यालय अनुदान आयोग (एम.फिल./पीएच.डी. उपाधि के लिए न्यूनतम मानक एवं प्रक्रिया) विनियम, 2009

एफ. 1-1/2002 (पी.एस.) छूट--विश्वविद्यालय अनुदान आयोग अधिनियम 1956 (1956 की संख्या 3) की धारा 26 की उपधारा (1) के अनुच्छेद (ई.) एवं (जी.) द्वारा प्रदत्त अधिकारों का प्रयोग करते हुए विश्वविद्यालय अनुदान आयोग विनियमों को निर्मित करता है। ये हैं :--

संक्षिप्त नाम, प्रयोग एवं प्रारम्भ

1. ये विनियम विश्वविद्यालय अनुदान आयोग (एम.फिल./पीएच.डी. उपाधि के लिए न्यूनतम मानक एवं प्रक्रिया) विनियम, 2009 कहलायेंगे।
2. ये उन सभी विश्वविद्यालयों पर लागू होंगे जिनकी स्थापना अथवा समावेश किसी केंद्रीय अधिनियम, प्रांतीय अधिनियम या राज्य अधिनियम के अंतर्गत की गई हो और विश्वविद्यालय अनुदान आयोग द्वारा मान्यता प्राप्त

विश्वविद्यालय के परामर्श से प्रत्येक संस्थान उसके अंग या सम्बद्ध कालेज, विश्वविद्यालय अनुदान आयोग अधिनियम 1956 के अनुच्छेद (एफ) धारा 2 एवं विश्वविद्यालय अनुदान आयोग के उक्त अधिनियम की धारा 3 के अंतर्गत प्रत्येक मानित विश्वविद्यालय पर लागू होंगे।

3. ये विनियम भारत के राजपत्र में प्रकाशित होने की तिथि से लागू हो जाएंगे।
4. समस्त विश्वविद्यालय, मानित विश्वविद्यालय एवं कालेज/राष्ट्रीय महत्व की संस्थाएं एम.फिल. एवं पीएच.डी. कार्यक्रमों को संचालित करने के लिए पात्रित होंगे।
5. यद्यपि इन विनियमों के होते हुए और कोई अन्य नियम या विनियम किसी समय पर लागू होने पर भी कोई भी विश्वविद्यालय, मानित विश्वविद्यालय एवं कालेज/राष्ट्रीय महत्व की संस्था एम.फिल. एवं पीएच.डी. कार्यक्रमों को दूरस्थ माध्यम से संचालित नहीं करेगा।
एम.फिल./पीएच.डी. निरीक्षकों के लिए पात्रता मापदण्ड
6. मान्यता प्राप्त होने वाले शोध निरीक्षक के संकाय के लिए समस्त विश्वविद्यालय, मानित विश्वविद्यालय एवं कालेज/राष्ट्रीय महत्व की संस्था एम.फिल. एवं पीएच.डी. दोनों कार्यक्रमों के लिए पात्रता मापदण्डों का निर्धारण करेगी।
7. समस्त विश्वविद्यालय, मानित विश्वविद्यालय एवं कालेज/राष्ट्रीय महत्व की संस्थाएं, वार्षिक आधार पर संकाय में उपलब्ध पात्रित निरीक्षकों की संख्या के आधार पर एम.फिल एवं शोध छात्रों की संचालीय संख्या को सुनिश्चित करेंगे।
8. एम.फिल./पीएच.डी. की सीटों की संख्या काफी पहले निर्धारित कर ली जाएगी एवं विश्वविद्यालय वेबसाइट एवं विज्ञापन पर अधिसूचित की जाएगी। एम.फिल./पीएच.डी. अध्ययनों की उपलब्ध सीटों की संख्या को व्यापक रूप से सभी विश्वविद्यालय, मानित विश्वविद्यालय एवं कालेज/राष्ट्रीय महत्व की संस्थाएं प्रचार करेंगी और प्रवेश को नियमित आधार पर संचालित करेंगे।

प्रवेश की प्रक्रिया

- 9 (i) समस्त विश्वविद्यालय, मानित विश्वविद्यालय, एवं कालेज/राष्ट्रीय महत्व की संस्थाएं एम.फिल. एवं शोध छात्रों का प्रवेश अपने स्तर पर विश्वविद्यालय, मानित विश्वविद्यालय एवं कालेज/राष्ट्रीय महत्व की संस्थाओं द्वारा आयोजित प्रवेश परीक्षा द्वारा होगा। जो लोग वि.अ.आ./सी.एस.आई.आर. (जे.आर.एफ.) परीक्षा, स्लेट/गेट उत्तीर्ण हैं या शिक्षक अध्ययतिवृत्तियाँ धारक हैं और जिन्होंने एम.फिल. कार्यक्रम पीएच.डी. प्रवेश परीक्षा के लिए उत्तीर्ण कर लिया है उनके लिए विश्वविद्यालय अलग से शर्तों का निर्धारण कर सकता है। यही तरीका एम.फिल. कार्यक्रम की प्रवेश परीक्षा में अपनाया जा सकता है।
- (ii) इसके पश्चात् स्कूल/विभाग/संस्था/विश्वविद्यालय जैसा मामला हो एक साक्षात्कार का आयोजन करेगा।
- (iii) साक्षात्कार के समय शोध छात्रों से अपेक्षा की जाती है वे अपने शोध रुचि/क्षेत्र पर विचार-विमर्श करें।
- (iv) पहले से सुनिश्चित की गई छात्रों की संख्या पर ही छात्रों को एम.फिल./पीएच.डी. कार्यक्रम में प्रवेश दिया जा सकेगा।
10. पीएच.डी. कार्यक्रम में प्रवेश या तो सीधे या एम.फिल. माध्यम से होगा।
11. एम.फिल./पीएच.डी. कार्यक्रमों में छात्रों को प्रवेश देने के दौरान विभाग/संस्था/स्कूल को राष्ट्रीय/राज्य की आरक्षण नीति का पर्याप्त ध्यान रखें।
निरीक्षक का विनियोजन
12. चयनित छात्रों के लिए निरीक्षकों का विनियोजन औपचारिक तरीके से विभागों द्वारा निर्धारित किया जाएगा जोकि प्रत्येक छात्रों एवं संकाय सदस्य की संख्या, उपलब्ध संकाय, निरीक्षकों की विशेषज्ञता एवं छात्रों के शोध रुचि पर आधारित होगा। व्यक्तिगत छात्र एवं शिक्षक पर निरीक्षक का आवंटन/विनियोजन नहीं छोड़ा जाएगा।
पाठ्यक्रम कार्य
13. प्रवेशीकरण के पश्चात् प्रत्येक एम.फिल./पीएच.डी. छात्र को विश्वविद्यालयों, मानित विश्वविद्यालयों, कालेजों/राष्ट्रीय महत्व की संस्थाओं द्वारा आवश्यक, जैसा कि मामला हो, न्यूनतम एक (1) सेमेस्टर्स की अवधि तक का पाठ्यक्रम कार्य को करना होगा। यह पाठ्यक्रम कार्य पूर्व एम.फिल./पीएच.डी. की तैयारी का माना जाएगा और जो निश्चित रूप से शोध पद्धति का पाठ्यक्रम होगा जिसमें परिमाणत्मक पद्धति एवं कम्प्यूटर प्रयोग शामिल होगा इसमें उपर्युक्त क्षेत्र में किए गये शोध प्रकाशनों की भी समीक्षा शामिल है। प्रत्येक विश्वविद्यालय, मानित विश्वविद्यालयों एवं

कालेजों/राष्ट्रीय महत्व की संस्थाओं जैसा कि मामला हो न्यूनतम अर्हकारी आवश्यकता को निर्धारित करेंगे और आगे छात्र शोधग्रंथ लिखने के लिए अनुमति देंगे।

मूल्यांकन एवं निर्धारित विधि

14. पाठ्यक्रम कार्य एवं शोध पद्धति को सफलतापूर्वक संपूर्ण करने के पश्चात् जो एम.फिल./पीएच.डी. कार्यक्रम का एक अंग है, एम.फिल./पीएच.डी. शोध छात्र, शोध कार्य को प्रारंभ करेगा और उचित सीमा अवधि के भीतर अपने शोधग्रंथ ड्राफ्ट को प्रस्तुत करेगा जैसा कि सम्बद्ध संस्थाएं निर्धारित करेंगी।
15. शोधग्रंथ प्रस्तुत करने के पूर्व छात्र को विभाग में एक पूर्व एम.फिल./पीएच.डी. प्रस्तुतीकरण करना पड़ेगा जोकि समस्त संकाय सदस्यों एवं शोध छात्रों के लिए खुला होगा ताकि टिप्पणियां एवं सुझाव प्राप्त हो सकें जिनको निरीक्षक के सुझाव पर, ड्राफ्ट शोध ग्रंथ में सम्मिलित किया जा सके।
16. शोधग्रंथ को प्रस्तुत करने के पूर्व शोध छात्र एक शोध पत्र निर्दिष्ट पत्रिका में प्रकाशित निर्णय हेतु कराएगा एवं रीप्रिंट या स्वीकृत पत्र के रूप में उनको प्रमाण स्वरूप प्रस्तुत करेगा।
17. संस्थाओं/विभाग में एम.फिल./पीएच.डी. छात्र द्वारा तैयार किए गए शोधग्रंथ को विश्वविद्यालय, मानित विश्वविद्यालय, कालेज/राष्ट्रीय महत्व की संस्था में जैसा मामला हो, जमा करना होगा जिसका मूल्यांकन कम से कम दो विशेषज्ञों जिनमें से एक को राज्य के बाहर का होना चाहिए। यह विश्वविद्यालय, मानित विश्वविद्यालय, कॉलेज/राष्ट्रीय महत्व की संस्था पर निर्भर होगा कि एक परीक्षक देश के बाहर का हो।
18. संतोषजनक मूल्यांकन रिपोर्टों की प्राप्ति के पश्चात् एम.फिल./पीएच.डी.छात्रों को एक मौखिक परीक्षा देनी होगी जिसमें खुले तौर पर, वह बचाव कर सके।

विश्वविद्यालय अनुदान आयोग के पास न्यास

19. मूल्यांकन प्रक्रिया को सफलतापूर्वक सम्पूर्ण करने के पश्चात् एवं एम.फिल./पीएच.डी. देने की घोषणा के पश्चात्, विश्वविद्यालय एम.फिल./पीएच.डी. के शोधग्रंथ की सॉफ्ट प्रति वि.अ.आ. को 30 दिनों के भीतर प्रेषित करेगा ताकि उसको इन्फ्लिबनेट पर डाल कर उसको समस्त संस्थाओं/विश्वविद्यालयों को उपलब्ध कराया जा सके।
20. उपाधि के साथ, उपाधि प्रदत्त विश्वविद्यालय/मानित विश्वविद्यालय, कालेज/राष्ट्रीय महत्व की संस्था जैसा कि मामला हो, अस्थायी प्रमाणपत्र जारी करेगा जिसमें यह प्रमाणित किया जाएगा कि उपाधि को विश्वविद्यालय अनुदान आयोग के प्रावधानों एवं इन्हीं विनियमों के अनुरूप प्रदान किया गया है।

आर. के. चौहान
सचिव

विश्वविद्यालय अनुदान आयोग (विश्वविद्यालयों एवं उनसे सम्बद्ध संस्थाओं में शिक्षकों की नियुक्ति एवं जीविका कैरियर उन्नति के लिए अपेक्षित न्यूनतम अर्हताएं) (तृतीय संशोधन) विनियम, 2009

एफ 1-1/2002 (पी.एस.) छूट--विश्वविद्यालय अनुदान आयोग अधिनियम, 1956 (1956 की धारा-3) के खण्ड 26 के साथ खंड-14 के अनुच्छेद (ई) एवं (जी) द्वारा प्रदत्त अधिकारों का प्रयोग करते हुए एवं विश्वविद्यालय अनुदान आयोग (विश्वविद्यालयों एवं उनसे सम्बद्ध संस्थाओं में शिक्षकों की नियुक्ति एवं जीविका कैरियर उन्नति के लिए अपेक्षित न्यूनतम अर्हताएं (प्रथम संशोधन) अधिनियम, 2002 दिनांक 31.07.2002 एवं विश्वविद्यालय अनुदान आयोग, विश्वविद्यालयों एवं उनसे सम्बद्ध संस्थाओं में शिक्षकों की नियुक्ति जीविका एवं कैरियर उन्नति के लिए अपेक्षित न्यूनतम अर्हताएं (द्वितीय संशोधन) अधिनियम, 2006 दिनांक 14.06.2006 को निरस्त करते हुए विश्वविद्यालय अनुदान आयोग (विश्वविद्यालयों एवं उनसे सम्बद्ध

संस्थाओं में शिक्षकों की नियुक्ति एवं जीविका कैरियर उन्नति के लिए अपेक्षित न्यूनतम अर्हताएं) विनियम, 2000 को संशोधित करते हुए निम्नलिखित विनियमों को निर्मित करता है :--

1. संक्षिप्त नाम, उपयोग एवं प्रारम्भ

1. ये विनियम विश्वविद्यालय अनुदान आयोग, विश्वविद्यालयों एवं उनसे सम्बद्ध संस्थाओं में शिक्षकों की नियुक्ति एवं जीविका कैरियर उन्नति के लिए अपेक्षित न्यूनतम अर्हताएं, (तृतीय संशोधन), 2009 कहलायेंगे।

2. ये उन सभी विश्वविद्यालयों पर लागू होंगे जिनकी स्थापना या समावेश किसी केन्द्रीय अधिनियम, प्रांतीय अधिनियम या राज्य अधिनियम द्वारा या अंतर्गत की गई हो और आयोग द्वारा मान्यता प्राप्त विश्वविद्यालय के परामर्श से प्रत्येक संस्थान, उसके अंग या संबद्ध कालेज विश्वविद्यालय अनुदान आयोग के उक्त अधिनियम की धारा-3 के अंतर्गत प्रत्येक मानित विश्वविद्यालय पर लागू होंगे।

3. ये विनियम भारत के राजपत्र में अपने प्रकाशित होने की तिथि से लागू हो जाएंगे।

4. विश्वविद्यालय अनुदान आयोग (विश्वविद्यालयों एवं उनसे संबद्ध संस्थाओं में शिक्षकों की नियुक्ति एवं जीविका कैरियर उन्नति के लिए अपेक्षित न्यूनतम अर्हताएं) अधिनियम, 2000 के परिशिष्ट में निम्नलिखित विवरण 1.3.3, 1.4.3, 1.5.3 एवं 1.6.1 में दिया गया है :--

लेक्चरर के रूप में नियुक्ति के लिए, नेट सर्वदा अनिवार्य आवश्यकता है, उन अभ्यर्थियों के लिए भी जिनके पास पीएच.डी. उपाधि है। फिर भी, अभ्यर्थियों जिन्होंने एम.फिल. उपाधि सम्पूर्ण कर ली हो या संबंधित विषय में पीएच.डी. 31 दिसम्बर, 1993 तक जमा कर दिया हो, उन्हें नेट की परीक्षा में बैठने से छूट होगी।

उपरोक्त अधिनियम के विवरण 1.3.3, 1.4.3, 1.5.3 एवं 1.6.1. के स्थान पर निम्नलिखित अनुच्छेद एतद् विश्वविद्यालय अनुदान आयोग (विश्वविद्यालयों एवं उनसे सम्बद्ध संस्थाओं में शिक्षकों की नियुक्ति एवं जीविका कैरियर उन्नति के लिए अपेक्षित न्यूनतम अर्हताएं) (प्रथम संशोधन) अधिनियम, 2002 द्वारा कर दिया गया था।

“लेक्चरर के रूप में नियुक्ति के लिए नेट सर्वदा अनिवार्य आवश्यकता है, उन अभ्यर्थियों के लिए भी जिनके पास पीएच.डी. उपाधि है। फिर भी, अभ्यर्थियों जिन्होंने एम.फिल. उपाधि 31 दिसम्बर, 1993 तक सम्पूर्ण कर ली हो या सम्बद्ध विषय में पीएच.डी. 31 दिसम्बर, 2000 तक जमा कर दी हो, उन्हें नेट की परीक्षा में बैठने से छूट होगी। यदि ऐसे अभ्यर्थी पीएच.डी. उपाधि प्राप्त करने में असफल होते हैं तो उन्हें नेट परीक्षा पास करनी होगी।”

आगे, उपरोक्त प्रावधान को विश्वविद्यालय अनुदान आयोग (विश्वविद्यालयों एवं उनसे और संस्थाओं में शिक्षकों की नियुक्ति एवं जीविका कैरियर उन्नति के लिए अपेक्षित न्यूनतम अर्हताएं) (प्रथम संशोधन) अधिनियम, 2002 के स्थान पर लाया गया और लागू किया गया। पुनः निम्नलिखित प्रावधान को विश्वविद्यालय अनुदान आयोग (विश्वविद्यालय एवं उनसे संस्थाओं में शिक्षकों की नियुक्ति एवं जीविका कैरियर उन्नति के लिए अपेक्षित न्यूनतम अर्हताएं) (द्वितीय संशोधन) अधिनियम, 2006 में लाया गया था :

“लेक्चरर के रूप में नियुक्ति के लिए नेट सर्वदा अनिवार्य आवश्यकता है, उन अभ्यर्थियों के लिए भी जिसके पास स्नातकोत्तर उपाधि है। फिर भी, जिन अभ्यर्थियों के पास संबद्ध विषय में पीएच.डी. उपाधि है उन्हें स्नातकोत्तर स्तर एवं स्नातक स्तर पर शिक्षण के लिए नेट से छूट होगी। अभ्यर्थियों, जिसके पास संबद्ध विषय में एम.फिल. उपाधि है उन्हें केवल स्नातक स्तर पर शिक्षण के लिए नेट से छूट होगी।”

अब उपरोक्त प्रावधान के स्थान पर निम्नलिखित अनुच्छेद कर दिया गया :

विश्वविद्यालयों/कालेजों/संस्थाओं में सहायक प्राचार्य के भर्ती और नियुक्ति के लिए राष्ट्रीय पात्रता परीक्षा/राज्य स्तरीय पात्रता परीक्षा सर्वदा न्यूनतम पात्रता की शर्त होगी।

बशर्ते कि यदि अभ्यर्थियों, जो कि पीएच.डी. हैं या जिनको पीएच.डी. उपाधि विश्वविद्यालय अनुदान आयोग (एम.फिल./पीएच.डी. उपाधि प्रदान हेतु न्यूनतम मापदण्ड एवं प्रक्रिया) अधिनियम, 2009 के अनुपालन द्वारा दी गई हो, उन्हें विश्वविद्यालय/कालेजों/संस्थाओं में शिक्षकों या समतुल्य पदों के भर्ती और नियुक्ति के लिए राष्ट्रीय पात्रता परीक्षा/राज्य स्तरीय पात्रता परीक्षा की न्यूनतम पात्रता शर्त की अर्हता से छूट रहेगी।

आर. के. चौहान
सचिव, यूजीसी

UNIVERSITY GRANTS COMMISSION
UGC (MINIMUM STANDARDS AND PROCEDURE FOR AWARDS OF M.PHIL/PH.D. DEGREE),
REGULATION, 2009

New Delhi-110002, the 1st June 2009

F. 1-1/2002 (PS) Exemp.—In exercise of the powers conferred by clause (e) & (g) of sub-section (1) of Section 26 of University Grants Commission Act, 1956 (3 of 1956), the University Grants Commission hereby makes the following Regulations, namely :—

Short Title, Application and Commencement :

1. These regulations may be called University Grants Commission (Minimum Standards and Procedure for award of M.Phil./Ph.D. Degree), Regulations 2009.
2. They shall apply to every University established or incorporated by or under a Central Act, Provincial Act or a State Act, every Institution including a constituent or an affiliated College recognized by the Commission, in consultation with the University concerned under clause (1) of Section 2 of the University Grants Commission Act, 1956, and every Institution deemed to be a University under section 3 of the said Act.
3. They shall come into force with effect from the date of their publication in the Gazette of India.
4. All Universities, Institutions, Deemed to be Universities and Colleges/Institutions of National Importance shall be eligible for conducting M.Phil. and Ph.D. Programmes.
5. Notwithstanding anything contained in these Regulations or any other Rule or regulation, for the time being in force, no University, Institution, Deemed to be University and College/Institution of National Importance shall conduct M.Phil and Ph.D Programmes through distance education mode.

ELIGIBILITY CRITERIA FOR M. PHIL./PH.D. SUPERVISOR

6. All Universities, Institutions, Deemed to be Universities and Colleges/Institutions of National Importance shall lay down the criteria for the faculty to be recognized as Research Supervisor both for M.Phil and Ph.D. Programmes.
7. All Universities, Institutions, Deemed to be Universities and Colleges/Institutions of National Importance shall lay down and decide on annual basis, a predetermined and manageable number of M.Phil and doctoral students depending on the number of the available eligible Faculty Supervisors. A Supervisor shall not have, at any given point of time, more than Eight Ph.D Scholars and Five M.Phil. Scholars.
8. The number of seats for M.Phil and Ph.D. shall be decided well in advance and notified in the University website or advertisement. All Universities, Institutions, Deemed to be Universities and Colleges/Institutions of National Importance shall widely advertise the number of available seats for M.Phil/Ph.D studies and conduct admission on regular basis.

PROCEDURE FOR ADMISSION

9. (i) All Universities, Institutions, Deemed to be Universities and Colleges/Institutions of National Importance shall admit M.Phil doctoral students through an Entrance Test conducted at the level of individual University, Institution, Deemed to be University, College/Institution of National Importance. The University may decide separate terms and conditions for those students who qualify UGC/CSIR (JRF) Examination/SLET/GATE/teacher fellowship holder or have passed M.Phil Programme for Ph.D. Entrance Test. Similar approach may be adopted in respect of Entrance Test for M.Phil Programme.
- (ii) It shall be followed by an interview to be organized by the School/Department/Institution/University as the case may be.
- (iii) At the time of interview, doctoral candidates are expected to discuss their research interest/area.
- (iv) Only the predetermined number of students may be admitted to M.Phil/Ph.D programme.

10. The admission to the Ph.D Programme would be either directly or through M.Phil Programme.
11. While granting admission to students to M.Phil/Ph.D. Programmes, the Department/Institute/School will pay due attention to the National/State Reservation Policy.

ALLOCATION OF SUPERVISOR

12. The allocation of the supervisor for a selected student shall be decided by the Department in a formal manner depending on the number of student per faculty member, the available specialization among the faculty supervisors, and the research interest of the student as indicated during interview by the student. The allotment/allocation of supervisor shall not be left to the individual student or teacher.

COURSE WORK

13. After having been admitted, each M.Phil/Ph.D student shall be required by the Universities, Institutions, Deemed to be Universities and Colleges/Institutions of National Importance, as the case may be, to undertake course work for a minimum period of one semester. The course work shall be treated as pre M.Phil/Ph.D preparation and must include a course on research methodology which may include quantitative methods and Computer Applications. It may also involve reviewing of published research in the relevant field. The individual Universities, Institutions, Deemed to be Universities and Colleges/Institutions of National Importance, as the case may be, shall decide the minimum qualifying requirement for allowing a student to proceed further with the writing of the dissertation.

If found necessary, course work may be carried out by doctoral candidates in sister Departments/Institutes either within or outside the University for which due credit will be given to them.

EVALUATION AND ASSESSMENT METHODS

14. Upon satisfactory completion of course work and research methodology, which shall form part & parcel of M.Phil/Ph.D. Programme, the M.Phil/Ph.D Scholar shall undertake research work and produce a draft thesis within a reasonable time, as stipulated by the Institution concerned.
15. Prior to submission of the thesis, the student shall make a pre-M.Phil/Ph.D presentation in the Department that may be open to all faculty members and research students, for getting feedback and comments, which may be suitably incorporated into the draft thesis under the advice of the supervisor.
16. Ph.D candidates shall publish one research paper in a referred Journal before the submission of the thesis/monograph for adjudication, and produce evidence for the same in the form of acceptance letter or the reprint.
17. The thesis produced by the M.Phil/Ph.D student in the Institutions/Departments and submitted to the University, Institution, Deemed to be University, College/Institution of National Importance, as the case may be, shall be evaluated by at least two experts, out of which at least one shall be from outside the State. It shall be upto the University, Institution, Deemed to be University, College/Institution of National Importance concerned to have one examiner from outside the Country.
18. On receipt of satisfactory evaluation reports, M.Phil/Ph.D students shall undergo a viva voce examination which shall also be openly defended.

DEPOSITORY WITH UGC

19. Following the successful completion of the evaluation process and announcements of the award of M.Phil/Ph.D, the University shall submit a soft copy of the M.Phil/Ph.D thesis to the UGC within a period of thirty days, for hosting the same in INFLIBNET, accessible to all Institutions/Universities.
20. Alongwith the Degree, the Degree awarding University, Institution Deemed to be University, College/Institution of National Importance, as the case may be, shall issue a Provisional Certificate certifying to the effect that the Degree has been awarded in accordance with the provisions to these Regulations of the UGC.

R. K. CHAUHAN
Secy., U.G.C.

UGC (MINIMUM QUALIFICATIONS REQUIRED FOR THE APPOINTMENT AND CAREER
ADVANCEMENT OF TEACHERS IN UNIVERSITIES AND INSTITUTIONS AFFILIATED TO IT)
(3rd AMENDMENT), REGULATION 2009.

F. 1-1/2002 (PS) Exemp.—In exercise of the powers conferred by clause (e) & (g) of sub-section (1) of Section 26 read with Section 14 of University Grants Commission Act 1956 (3 of 1956), and in supersession of the University Grants Commission (Minimum Qualifications required for the appointment and Career Advancement of teachers in Universities and Institutions affiliated to it) (1st Amendment), Regulation, 2002 dated 31st July, 2002 and University Grants Commission (Minimum Qualifications required for the appointment and Career Advancement of teachers in Universities and Institutions affiliated to it) (2nd Amendment), Regulation, 2006 dated 14.06.2006, the University Grants Commission hereby makes the following Regulations to amend the University Grants Commission (Minimum Qualifications required for the appointment and Career Advancement of teachers in Universities and Institutions affiliated to it) Regulation, 2000, namely :—

Short Title, Application and Commencement :

1. These regulations may be called University Grants Commission (Minimum qualifications required for the appointment and Career Advancement of teachers in Universities and Institutions affiliated to it) (3rd Amendment), Regulation 2009.
2. They shall apply to every University established or incorporated by or under a Central Act, Provincial Act or a State Act, every Institution including a constituent or an affiliated college recognized by the Commission, in consultation with the University concerned under clause (f) of Section 2 of the University Grants Commission Act 1956, and every Institution deemed to be a University under section 3 of the said Act.
3. They shall come into force with effect from the date of their publication in the Gazette of India.
4. In the ANNEXURE to the University Grants Commission (Minimum Qualifications required for the appointment and Career Advancement of teachers in Universities and Institutions affiliated to it) Regulation, 2000, the following was provided in the Note to Regulation 1.3.3, 1.4.3, 1.5.3 and 1.6.1 :

"NET shall remain the compulsory requirement for appointment as Lecturer even for candidates having Ph.D degree. However, the candidates who have completed M.Phil degree or have submitted Ph.D. thesis in the concerned subject upto 31st December, 1993 are exempted from appearing in the NET examination."

The said Note to Regulation 1.3.3, 1.4.3, 1.5.3 and 1.6.1 was substituted by the following para, vide University Grants Commission (Minimum Qualifications required for the appointment and Career Advancement of teachers in Universities and Institutions affiliated to it) (1st Amendment), Regulation 2002 :

"NET shall remain compulsory requirement for appointment as Lecturer even for candidates having Ph.D. Degree. However, the candidates who have completed M.Phil. Degree by 31st December, 1993 or have submitted Ph.D. thesis to the University in the concerned subject on or before 31st December, 2002 are exempted from appearing in the NET examination. In case such candidates fail to obtain Ph.D. Degree, they shall have to pass the NET examination."

Further, the above provision brought in to effect by the University Grants Commission (Minimum Qualifications required for the appointment and Career Advancement of teachers in Universities and Institutions affiliated to it) (1st Amendment), Regulation 2002, was further substituted by the following provision of the University Grants Commission (Minimum Qualifications required for the appointment and Career Advancement of teachers in Universities and Institutions affiliated to it) (2nd Amendment), Regulation 2006 :

"NET shall remain compulsory requirement for appointment as Lecturer even for those with Post Graduate Degree. However, the candidates having Ph.D Degree in the concerned subject are exempted from NET for PG level and UG level teaching. The candidates having M.Phil. Degree in the concerned subject are exempted from NET for UG level teaching only."

Now, the above provision shall be substituted by the following paragraph :

"NET/SLET shall remain the minimum eligibility condition for recruitment and appointment of Lecturers in Universities/Colleges/Institutions.

Provided, however, that candidates, who are or have been awarded Ph.D. Degree in compliance of the "University Grants Commission (minimum standards and procedure for award of Ph.D Degree), Regulation 2009, shall be exempted from the requirement of the minimum eligibility condition of NET/SLET for recruitment and appointment of Assistant Professor or equivalent positions in Universities/Colleges/Institutions."

R. K. CHAUHAN
Secy., U.G.C.

**Department Of Personnel and Administrative Reforms O.M. No.36011/5/75-Estt.(SCT),
dated the 3rd May, 1975, to all Ministries/Departments, etc.**

Subject:—Strict observance of the orders regarding reservations for Scheduled Castes and Scheduled Tribes.

In this Department's O. M. No. 27/2/71-Esu (SCT), dated the 24th March, 1972, the Ministries/Departments were requested to impress upon the appointing authorities the need to observe the reservation and other relating to representation of Scheduled Castes and Scheduled Tribes in services strictly. It was also stated that cases of negligence or lapses in the matter of following the reservation and other orders relating to the Scheduled Castes and Scheduled Tribes coming to light through the inspections carried out by the Liaison Officers or otherwise, should be submitted to the Secretary/Additional Secretary to the Government in the respective Ministries/Departments and to the Head of the Department in respect of offices under a Head of Department and that necessary action should be taken as directed by the Secretary/Additional Secretary/Head of the Department on such reports to ensure strict compliance of the orders by the appointing authority concerned. A suggestion was made by the Commissioner for Scheduled Castes and Scheduled Tribes that in order to ensure proper implementation of reservation orders, suitable instructions should be issued to all concerned emphasising that disciplinary action would be taken against the erring officers. This matter was considered by the High Power Committee set up under the Chairmanship of the Prime Minister to review the representation of Scheduled Castes and Scheduled Tribes in the services, as its meeting held on the 9th April, 1974, when the Committee observed that while there was no need to issue further instructions in this regard, any glaring instances of discrimination or deliberate infraction of the orders relating to reservations and concessions in favour of Scheduled Castes and Scheduled Tribes employees in any office/establishment could be brought to the notice of the appropriate authorities for suitable action.

2. The observations of the High Power Committee indicated above are brought to the notice of the Ministries/Departments etc. with the request that it may be ensured that wherever cases of such nature are brought to the notice of the appropriate authorities, suitable action is taken on them promptly.

**Department of Personnel and Administrative Reforms O.M. No.36011/1/76-Est.(SCT),
dated the 6th March, 1976, to all Ministries/Departments, etc.**

Subject :—Strict observance of the orders regarding reservation for Scheduled Castes and Scheduled Tribes—proper maintenance of rosters.

The undersigned is directed to say that instances have come to the notice of this Department where, in some offices, rosters prescribed for giving effect to the orders regarding reservation for Scheduled Castes and Scheduled Tribes were not maintained properly or in the prescribed form or entries were not signed by the appointing authority or other authorised officer, etc. Instances have also come to notice where the rosters were not inspected by the Liaison Officers although according to the instructions contained in this Department's Office Memorandum No. 27/4(ii)/70-Estt. (SCT), dated the 3rd September, 1970 and No. 8/3/71-Estt. (SCT), dated the 22nd April, 1971, the Liaison Officers in Ministries/Departments or in offices under the Heads of Departments, are required to conduct annual inspection of the rosters in the prescribed proforma. Ministry of Finance etc. are therefore requested to impress upon the appointing authorities under them, the need for strict observance of the reservation and other orders relating to representation of Scheduled Castes and Scheduled Tribes, including those relating to maintenance and annual inspection of rosters etc. Inspection by the Liaison Officers of the roster etc. should also be done. A record of the inspections made and of the reports should be properly kept. Attention is invited in this connection to the instructions contained in this Department's Office Memorandum No. 27/2/71-Estt. (SCT), dated the 24th March, 1972 according to which cases of negligence or lapses in the matter of following the reservation and other orders relating to representation of Scheduled Castes and Scheduled Tribes coming to light through the inspections carried out by the Liaison Officers or otherwise, are to be submitted to the Secretary/Additional Secretary to the Government of the respective Ministries/Departments and to the Heads of Departments in respect of offices under a Head of Department and necessary action taken as directed by the Secretary/Additional Secretary/Head of the Department on such reports to ensure strict compliance of these orders by the appointing authority concerned. This aspect may also kindly be brought to the notice of all concerned.
