


**Minutes of the 60th Meeting of the Finance Committee of
Assam University held on 22nd March 2021 at 11.00 AM
at Assam University, Silchar through Video Conferencing**

The following were present:

- | | |
|---------------------------------------------------------------------------------------------------------|------------------------|
| 1. Prof. Dilip Chandra Nath Vice-Chancellor, Assam University | - Chairman |
| 2. Prof. M.K. Sinha Dept. of Library & Information Science Assam University, Silchar (EC Nominee) | - Member |
| 3. Dr. P.K. Nath Registrar, Assam University | - Permanent Invitee |
| 4. Dr. Subhadeep Dhar Finance Officer (i/c), Assam University | - Ex-officio Secretary |

The following were attended the meeting through Video Conferencing:

- | | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|
| 5. Prof. Sivasish Biswas Pro Vice-Chancellor, Assam University, Diphu Campus | - Member |
| 6. Dr. Jitendra Kumar Tripathi, Joint Secretary (CU) University Grants Commission, New Delhi (Visitor's Nominee) | - Member |
| 7. Mr. Subhash Chand Sharu, Director, (CU-I/II) Department of Higher Education, Ministry of Education, New Delhi [Nominee of Additional Secretary (CU), Ministry of Education, GoI (Visitor's Nominee)] | - Member |
| 8. Mohammed Rizwan, Director, (IFD) Department of Higher Education, Ministry of Education, New Delhi [Nominee of Joint Secretary and Financial Adviser, Ministry of Education, GoI (Visitor's Nominee)] | Member |
| 9. Dr. Bijoy Kumar Mohapatra Director (i/c), Cuttack Campus, Maulana Azad National Urdu University, Hyderabad (EC nominee) | - Member |
| 10. Mr. Bishnu Chakraborty Finance Officer, K.K. Handique State Open University, Guwahati (EC Nominee) | - Member |


22/3/21

The comments of Additional Secretary (CU), Ministry of Education, Dept. of Higher Education, GoI on the agenda items received from ASO (CU-I), Ministry of Education vide his e-mail dated 19th March 2021; the comments received from the Under Secretary to the Govt. of India, IFD, Dept. of Higher Education, Ministry of Education with the approval of JS & FA, Ministry of Education, GoI vide letter F. No. 9-9/2017-IFD.pt, dated 19th March, 2021 were presented by the Finance Officer (i/c) & Secretary, Finance Committee, Assam University before the Finance Committee and the members noted the comments & put on record.

The Vice-Chancellor, Assam University assured that the observations of the Ministry will be strictly followed by the University in true letter and spirit.

Item No. FC: 60/01: Welcome and Opening Remarks by the Vice-Chancellor, Assam University.

Prof. Dilip Chandra Nath, Vice-Chancellor, Assam University and Chairman, Finance Committee welcomed Dr. J.K. Tripathi, Joint Secretary (CU), UGC, (Visitor's Nominee), Mr. Subhash Chand Sharu, Director, (CU-I/II), Department of Higher Education, Ministry of Education, New Delhi, [Nominee of Additional Secretary (CU), Ministry of Education, GoI (Visitor's Nominee)], Mohammed Rizwan, Director, (IFD), Ministry of Education, New Delhi, [Nominee of Joint Secretary and Financial Adviser, Ministry of Education, GoI (Visitor's Nominee)] and all the members for attending the meeting.

The Chairman in his opening remarks sought guidance from all the members for all round development and growth of the University. He then requested the Secretary, Finance Committee to initiate the proceedings as per the agenda items.

Item No. FC: 60/02: Confirmation of the Minutes of the 59th meeting of the Finance Committee of the University held on 8th October 2020.

The copy of the Minutes of the 59th meeting of the Finance Committee of the University held on 8th October 2020 was placed in the meeting as an Annexure to the agenda item which was circulated to all the members vide letter No. AUF/686/FC-59/2020-21/623, dtd. 09.10.2020.

Handwritten signature and date:
24/3/21

Comments on Minutes of the 59th meeting of the Finance Committee of Assam University held on 8th October 2020 received through e-mail dated 9th October 2020 from Shri Bishnu Chakraborty, Member, Finance Committee, Assam University. Comments received as under:

"The minute is found in order as discussed in the meeting except Resolution against FC.59/04 regarding agenda No.6 last line which should be for execution of construction work through Govt. Agency instead of empanelment of Govt. Agency."

Above observation has been noted and complied by the University.

Taking into consideration, the suggestion of the member on the minutes of the 59th Finance Committee meeting held on 8th October 2020, the same may kindly be confirmed.

Resolution: Subject to strict compliance of the relevant Statutory provisions of the University and also strict compliance of the related Govt. of India instructions and guidelines including those issued by the Central Vigilance Commission the Minutes of the 59th meeting of the Finance Committee of the University held on 8th October 2020 were confirmed.

However, with reference to the comment received from Sri Bishnu Chakraborty, member, on the Minutes of the 59th Finance Committee, it was suggested that the resolution against FC.59/04 regarding agenda No.6 pertaining to the 59th Finance Committee, it was advised that the University should process the matter as per provisions of GFR under Rule 133(2) and Rule 133(3).

Item No. FC: 60/03: Action Taken Report of the 59th Meeting of the Finance Committee of the University held on 8th October 2020.

Action Taken Report on the 59th Meeting of the Finance Committee of the University held on 8th October 2020 was placed in the meeting as an Annexure to the agenda item for information and necessary review.

Resolution: The Action Taken Report on the 59th Meeting of the Finance Committee of the University held on 8th October 2020 were perused and noted by the members of the Finance Committee after deliberations on the same.

TLX
22/8/21

Item No. FC: 60/04: Recommendation for approval of compliances of the Separate Audit Report of the Comptroller and Auditor General of India on the Accounts of the Assam University for the financial year 2019-20.

On the recommendation of the 58th meeting of the Finance Committee held on 15th July 2020, the 135th meeting of the Executive Council held on 15th July 2020 approved the Annual Accounts of Assam University for the financial year 2019-20. The approved Annual Accounts of Assam University for the financial year 2019-20 was sent to C&AG vide letter No. AUF/715/2019-20/326, dated 15th July 2020.

The Comptroller and Auditor General of India has conducted the audit of the Accounts of the Assam University for the financial year 2019-20 from 04.11.2020 to 16.12.2020 under Section 19(2) of the Comptroller and Auditor General's (Duties, Powers and Conditions of Service) Act, 1971 read with Section 31(1) of Assam University Act, 1989.

The Dy. Director (Inspection), Indian Audit and Accounts Department, Office of the Director General of Audit, Central, Kolkata has communicated the Draft Separate Audit Report on the Accounts of the University for the financial year 2019-20 to the University vide letter No. OA(AB)/AR/2019-20/Assam University/151, dated 5th January 2021. The University has submitted the compliances to the Draft Separate Audit Report vide letter No. AUF/715/2019-20/1154, dated 20th January 2021 to the office of the C&AG.

The C&AG has communicated the Separate Audit Report (SAR) on the Audited Accounts of the Assam University for the financial year 2019-20 vide letter No. OA II (AB)/AR/2019-20/Assam University/304, dtd. 08.03.2021 and Management Letter were placed in the meeting as Annexure to the agenda item for consideration and adoption.

The compliances of the Management Letter and Separate Audit Report (SAR) of the C&AG on the Accounts of the Assam University for the year 2019-20 has been sent by the University to the C&AG office vide letter No. AUF/715/2019-20/1472, dtd. 13.03.2021 was placed in the meeting as an Annexure to the agenda item for approval.

Further, copy of the audited Annual Accounts along with SAR for the financial year 2019-20 of Assam University was placed in the meeting for perusal of the members.

Handwritten signature and date:
22/3/21

Resolution: At the outset, the Secretary, Finance Committee placed a delay statement in the prescribed format provided by the Ministry of Human Resource Development, Govt. of India vide D.O letter No.F.2-2/94(CU), dated May, 2014 mentioning the reasons for delay in submission of the Audited Annual Accounts and Separate Audit Report (SAR) of the C&AG for the year 2019-20 before the Finance Committee. The Members perused and noted the same and recommended for submission of the same as an enclosure with the forwarding letter to the Ministry of Education.

It has also been ensured in the meeting that the observations/instructions/suggestions of Audit will be complied by the University.

The members discussed the Separate Audit Report (SAR) of the C&AG in detail and recommended to the Executive Council for adoption of the Audited Annual Accounts of the University for the year 2019-20 & Audit Report of C&AG for the year 2019-20.

Further, the Finance Committee recommended the compliances of the SAR and Management Letter of the C&AG on the Accounts of the Assam University for the year 2019-20 to the Executive Council for approval.

Item No. FC: 60/05: Review of details of the pending C&AG Transaction Audit Paras and the Action Taken to settle/liquidate the pending Paras.

Ministry of Education, Govt. of India vide letter F. No. 13-110/220-CU-Cdn, dated 22nd December 2020 communicated a Note dated 17.12.2020 of Secretary (Higher Education), Ministry of Education with a direction to include complete details and status of audit paras as Standing Agenda items in every meeting of the Finance Committee.

The C&AG Transaction Audit Paras received vide Memo No. OAD/BO-GHY/UC-44/2020-21/10/35,dtd.01.02.2021 received from the Director, O/o. the DGA, Central, Kolkata, Br. Office Guwahati was placed in the meeting as an Annexure to the agenda item.

THY
24/3/21

The pending Audit Paras are furnished for kind information of the members.

| Financial Year | No. of O/S Paras year-wise | No. of Paras dropped/deleted/settled | No. of O/S Paras as on 10.03.2021 | Remarks |
|----------------|----------------------------|--------------------------------------|-----------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 2012-13 | 01 | 0 | 01 | The compliances to the outstanding Audit paras upto 2019-20 have been prepared on the basis of the resolutions taken in the meeting of the Standing Audit Committee of the University held on 10 th March 2021. |
| 2013-14 | 02 | 01 | 01 | |
| 2014-15 | 01 | 0 | 01 | |
| 2015-16 | 19 | 08 | 11 | |
| 2016-17 | 04 | 0 | 04 | |
| 2017-18 | 20 | 10 | 10 | |
| 2018-19 | 14 | 01 | 13 | |
| 2019-20 | 13 | 00 | 13 | |

The details of the Transaction Audit Paras up to the financial year 2018-19 with up-to-date Action Taken (with small description of the Audit Paras) were placed in the meeting as an Annexure to the agenda item and Transaction Audit Paras for the financial year 2019-20 with up-to-date Action Taken (with small description of the Audit Paras) to settle/liquidate the pending paras were placed in the meeting as an Annexure to the agenda item for review by the members of the Finance Committee.

Resolution: The Finance Officer clarified the details of the Transaction Audit Paras up to the financial year 2018-19 and for the financial year 2019-20 along with a Status Report showing the up-to-date Action Taken.

The members discussed the C&AG Inspection Audit Paras up to the financial year 2018-19 and for the financial year 2019-20 and the compliances of the University in the light of relevant provisions of GFRs, 2017 and related Govt. of India instructions and resolved/suggested the following:

- (i) The University should comply with the suggestions/instructions of the Audit and efforts should be made to settle/drop the Audit Paras on priority basis.
- (ii) The compliances to the Outstanding Audit Paras may be taken up with the C&AG for early settlement/liquidation of the same.

THY
22/3/21

(iii)The constituted Standing Audit Committee (SAC) should review all pending Audit Paras and settle the Audit Paras urgently in a time bound manner.

Item No. FC: 60/06: Details of Public Grievances pending in the University.

Ministry of Education, Govt. of India vide letter F. No. 13-110/220-CU-Cdn, dated 22nd December 2020 communicated a Note dated 17.12.2020 of Secretary (Higher Education), Ministry of Education with a direction to include Public Grievances pending in the Institutes as Standing Agenda items in every meeting of the Finance Committee.

In this regard, this is for information of all members of the Finance Committee that there are NO Public Grievances pending in the University.

Resolution: The Finance Committee noted the same.

Item No. FC: 60/07: Details of Parliamentary Assurances pending in the University.

Ministry of Education, Govt. of India vide letter F. No. 13-110/220-CU-Cdn, dated 22nd December 2020 communicated a Note dated 17.12.2020 of Secretary (Higher Education), Ministry of Education with a direction to include Parliamentary Assurances pending in the Institutes as Standing Agenda items in every meeting of the Finance Committee.

In this regard, this is for information of all members of the Finance Committee that there are NO Parliamentary Assurances pending in the University.

Resolution: The Finance Committee noted the same.

Item No. FC: 60/08: Utilization of Funds - An overview of the financial position of the University up to 28th February 2021 and unspent balance as on 1st March 2021.

The Utilization of Funds head-wise showing an overview of the financial position of the University up to 28th February 2021 and unspent balances as on 1st March 2021 were placed in the meeting as an Annexure to the agenda item for perusal of the Committee.

The Utilization of Funds under EWS sanctioned for the financial year 2019-20 vide sanction No. F.18-16/2019 (CU), dated 21st October 2019 under Salary head and No. F.18-17/2019 (CU), dated 21st October 2019 under Recurring head upto 28th February 2021 were placed in the meeting as an Annexure to the agenda item for perusal of the Committee.

hrc
22/3/21

Resolution: The Finance Committee perused & noted the Utilization of Funds head-wise showing an overview of the financial position of the University up to 28th February 2021 and unspent balance as on 1st March 2021 and the Utilization of Funds under EWS sanctioned for the financial year 2019-20 under Salary head and Recurring head upto 28th February 2021 subject to strict compliance of the relevant statutory provisions of the University and also strict compliance of the related Govt. of India instructions and guidelines including those issued by the Central Vigilance Commission and UGC instructions thereof.

Further, it was also discussed about the funding status of Self Financing Courses in the University where it was clarified by the University in the meeting that the B.Voc Course was initially funded by UGC but at present the Course is running on self financing basis on fee receipts from students of concerned course and without any further financial assistance from UGC. For other self financing courses the same are maintained by the University on a self sustaining basis by generating funds from fee receipts.

Item No. FC: 60/09: Review of progress of the ongoing construction activities of the University.

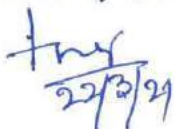
UGC in the letter F. No. 1-1/2012 (CU), dated 25th August 2015 intimated that a separate agenda item on progress of Building Projects in the prescribed format of the UGC should be placed in the meeting of Finance Committee for necessary review of the overall progress of the developmental activities in the University.

The statement of progress of ongoing Building Projects was placed in the meeting as an Annexure to the agenda item for necessary review.

Resolution: Progress of the ongoing construction projects of the University has been reviewed by the members in detail and it was clarified in the meeting regarding the progress of Construction of A Type KV in Assam University at SI No.1 that the Project will be completed within 31st March, 2021 as the validity for utilization of fund has been extended up to 30th September, 2021 vide MoE letter F.No.4-12/2020-CU-VII dated 18th February, 2021.

Item No. FC: 60/10: Progress of infrastructure project funded through HEFA.

Ministry of Education, Govt. of India, Department of Higher Education, CU Bureau vide letter F. No. 4-7/2020-CU.VII, dtd. 11.09.2020 forwarded a copy of the minutes of the meeting (through video conference) under the chairmanship of JS (CU & IISER) on


22/2/21

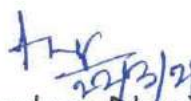
27.08.2020 to review the progress/issues of HEFA funded infrastructure project of 6 Central Universities (CUs) including Assam University.

In the said minutes, it has been directed by the Chairman that, Progress of infrastructure project funded through HEFA should be an Agenda point of every Finance Committee meeting.

In view of above, the Status of proposals funded through HEFA in respect of Assam University was placed in the meeting as an Annexure to the agenda item for perusal and necessary review.

Resolution: Progress of infrastructure project funded through HEFA was reviewed in the meeting and the members noted the same.

The meeting ended with vote of thanks to the Chair.


(Dr. Subhadeep Dhar)
Finance Officer (i/c) &
Ex-Officio-Secretary
Finance Committee
Assam University, Silchar


(Prof. Dilip Chandra Nath)
Vice-Chancellor &
Chairman
Finance Committee
Assam University, Silchar
